



AT 5

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/056,052 | 01/28/2002 | Joseph M. Patti | P07069US04 |

CONFIRMATION NO. 3946

00881
LARSON & TAYLOR, PLC
1199 NORTH FAIRFAX STREET
SUITE 900
ALEXANDRIA, VA 22314

FORMALITIES LETTER



OC000000007537263

Date Mailed: 02/26/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/22/2002 HTECKLU1 00000039 10056052

FILED UNDER 37 CFR 1.53(b)

| | |
|-----------|-----------|
| 01 FC:201 | 370.00 OP |
| 02 FC:203 | 153.00 OP |
| 03 FC:202 | 42.00 OP |
| 04 FC:205 | 65.00 OP |

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$195.
 - \$153 for 17 total claims over 20.
 - \$42 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 630.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:


- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible (see 37 CFR 1.84(o));
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May

15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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M.P.
B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent

In re patent application of: PATTI et al.

Serial No.: 10/056,052

Examiner:

Filed: January 28, 2002

Art Unit:

For: MONOCLONAL ANTIBODIES TO THE CLFA
PROTEIN AND METHOD OF USE IN...

Docket #: P07069US04/BAS

RESPONSE TO MISSING PARTS

Commissioner for Patents
Washington, D.C.

S I R:

In response to the Notice to File Missing Parts of Application mailed February 26, 2002, enclosed are the following:

- ☒ **Copy of Notice to File Missing Parts of Nonprovisional Application**
- ☒ **Declaration** signed by the named inventor(s)
- ☐ **Application Data Sheet** supplementing signed declaration
- ☐ Accurate English **translation** of the application
- ☒ **Check** for \$ 630.00 (as calculated below)
- ☐ Recordation Form Cover Sheet and **Assignment** document to be recorded
- ☐ Certified copy of **Priority Document**, the priority of which is hereby claimed
- ☐ **Preliminary Amendment** reducing the filing fee.
- ☐ Information Disclosure Statement
- ☒ Other: Letter re: Drawings; 8 p. Drawings; Preliminary Amendment and Statement Regarding Sequence Listing; Sequence Listing and Diskette

Fee Calculation (after reducing filing fee by preliminary amendment if noted above).

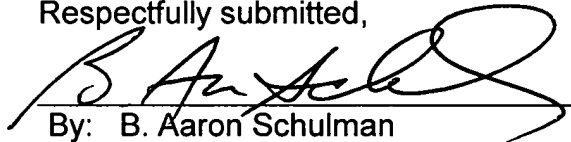
Note: X Applicant claims **small entity status**

| FEE CALCULATION and notations | | | | | |
|--|------------|---------------------|----------------------|--------------------------------------|-----------------|
| | <i>Now</i> | <i>Basic Number</i> | <i>Present Extra</i> | <i>Rate</i> | <i>\$</i> |
| TOTAL CLAIMS | 37 | - 20 | 17 | X \$ 18 = | 306.00 |
| INDEP. CLAIMS | 4 | - 3 | 1 | X \$ 84 = | 84.00 |
| MULTIPLE DEPENDENT CLAIM(S) | | | | + \$ 280 = | |
| <u> X </u> LATE FILING SURCHARGE | | | | + \$ 130 = | 130.00 |
| <u> X </u> | | | | BASIC FEE \$ 740 = | 740.00 |
| | | | | TOTAL OF ABOVE CALCULATIONS = | 1260.00 |
| <u> X </u> Reduction by ½ for small entity status of applicant | | | | - | 630.00 |
| | | | | SUBTOTAL = | 630.00 |
| Fee for recording of assignment | | | | + \$ 40 = | |
| Fee for non-English specification | | | | + \$ 130 = | |
| | | | | TOTAL OF ALL FEES = | \$630.00 |

 X If no check or an insufficient check is enclosed and a fee is due in connection herewith, the Commissioner is authorized to charge any fee or additional fee due in connection herewith to Deposit Account No. 12-0555.

Respectfully submitted,

Date: April 19, 2002


 By: B. Aaron Schulman
 Reg. No.: 31,877

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of)

PATTI et al.)

Serial No.: 10/056,052)

) P07069US04/BAS

Filed: January 28, 2002)

For: MONOCLONAL ANTIBODIES TO THE CLFA)
PROTEIN AND METHOD OF USE IN...)LETTER - FORMAL DRAWINGS

Assistant Commissioner of Patents

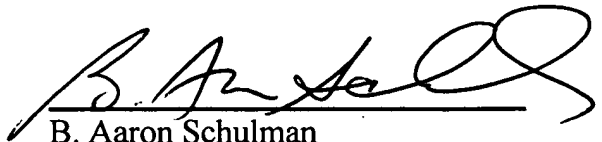
Washington, D. C.

S I R:

Please substitute the attached formal drawings for those originally filed in the
above-identified application.

Respectfully submitted,

Date: April 19, 2002


B. Aaron Schulman
Registration No. 31,877

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